

PTO/SB/21 (09-06)

A Baduation Act of 4005	U.S. F	Approved for use through 03/31/2007. OMB 0651-0031 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE llection of information unless it displays a valid OMB control number.
Under the Paperwork Reduction Act of 1995	Application Number	10/576,228
TRANSMITTAL	Filing Date	10/13/2004 (I.A. Filing Date)
FORM	First Named Inventor	Brenton T. Flatt
	Art Unit	1614
(to be used for all correspondence after initial	Examiner Name	To Be Assigned
Total Number of Pages in This Submission	743 Attorney Docket Number	06-023-A5/980049.406US
	ENCLOSURES (Check all	that apply)
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement (2 pages) Certified Copy of Priority Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocatio Change of Correspondence A Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on CD Remarks	Other Enclosure(s) (please Identify below): 1) 5 Reference (738 pages) 2) PTO/SB/08A and PTO/SB/08B (2 pages) 3) Postal Card for Acknowledgment of Receipt.
SIGNA	TURE OF APPLICANT, ATTO	RNEY, OR AGENT
Firm Name EXELIXIS, Inc.		
Signature EXELIXIS, Inc.	par	
Printed name Carmen Pili Ekstrom		
Date January 25, 2007	F	Reg. No. 34,981
. January 20, 2007		
	ERTIFICATE OF TRANSMISS	
I hereby certify that this correspondence is be sufficient postage as first class mail in an enthe date shown below:	eing facsimile transmitted to the USPTovelope addressed to: Commissioner for	O or deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Signature Lawra Q.	Huntington	
Typed or printed name Laura A. Hunting	()	Date January 25, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.: 06-023-A5/980049.406US

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E UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Brenton T. Flatt et al.

Application No.: 10/576,228

International Filing Date: October 13, 2004

Examiner: To Be Assigned

Entitled: BRIDGED RING STRUCTURES AS

PHARMACEUTICAL AGENTS

Confirmation No.: 6881

Group Art Unit: 1614

International Appl. No.:

PCT/US2004/033666

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R §§ 1.97 and 1.98, the items identified and submitted with this Information Disclosure Statement (IDS) are being brought to the attention of the Office. The items are listed on the attached PTO/SB/08A. The Examiner is requested to make these documents of record.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission by the Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (CFR § 1.97(h)), or even qualifies as "prior art" or "analogous art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is before the mailing of the first Office Action on the merits. Thus, no fee is required. However, should the undersigned be in error in this regard, Applicants respectfully request that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and charge the fee due under 37 CFR § 1.17(p) to the deposit account referenced below.

Attorney Docket No.: 06-023-A5/980049.406US

Copies of all of the items listed on the attached form PTO/SB/08A are enclosed. A concise explanation of their relevance is not given.

Citation of the above referenced documents shall not be construed as:

- 1) an admission that the documents are necessarily prior art with respect to the instant invention.
- 2) a representation that a search has been made, other than as described above, and
- 3) an admission that the information cited herein is, or is considered to be material to patentability as defined in § 1.56(b)

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO/SB/08A with initials or other appropriate marks. In the unlikely event that the transmittal letter is separated from this document and the U.S. Patent Office determines that an extension and/or other relief is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other necessary fees due in connection with the filing of this document to Deposit Account No. 50-1108, referencing 980049.406US. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

Dated: January 25, 2007

Carmen Pili Ekstrom For the Applicants
Reg. No. 34,981

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CERTIFICATE OF MAILING

I hereby certify that this paper, along with any document referenced as being attached or enclosed, is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, Post Office Box 1450, Alexandria, VA, 22313-1450, on the date indicated below.

Dated: 1/25/07

Laura A. Huntington

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

(Use as many sheets as necessary)

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Complete if Known				
Application Number	10/576,228			
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First Named Inventor	Brenton T. Flatt			
Art Unit	1614			
Examiner Name	To Be Assigned			
Attorney Docket Number	06-023-A5/980049 406US			

· · ·			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (f known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 6,218,430 B1	04-17-2001	Allegretto et al.	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	4
		Country Code ³ Number ⁴ Kind Code ⁵ (if known) MM-DD-YYYY			Or Relevant Figures Appear	Τ'
	2	WO 2004/048309 A1	06/10/2004	ELI LILLY & COMPANY		
	3	WO 2004/063345 A2	07/29/2004	ELI LILLY & COMPANY		
	4	GB 1 410 275	10/15/1975	SUMITOMO CHEMICAL COI		L
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Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO				Application Number	10/576,228	
INF	ORMATION	DIS	CLOSURE	Filing Date	10/13/2004 (I.A. Filing Date)	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Brenton T. Flatt	
				Art Unit	1614	
(Use as many sheets as necessary)			ecessary)	Examiner Name	To Be Assigned	
Sheet	2	of	2	Attorney Docket Number	06-023-A5/980049.406US	

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-		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	5	SHIMIZU, I. et al.; "Molybdenum-Catalyzed Aromatic Substitution With Olefins and Alcohols"; Chemistry Letters 1997; May 2, 1997; pp. 851 - 852; The Chemical Society of Japan	
			1/1
Examiner Signature		Date Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.